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PATENT APPLICATION  
Docket No.: 301962.3000-100MP  
J3

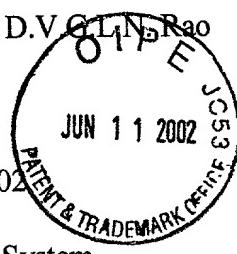
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants Pengfei Wu and D.V. G.L.N. Rao

Application No.: 10/077,601 Group Art: 2651

Filed: February 15, 2002 Examiner: Not Yet Assigned

For: Optical Storage System

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CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231.	
on <u>June 5 2002</u>	<u>Sharon R. Lloyd</u>
Date	Signature
_____ <u>Sharon R. Lloyd</u> Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In reply to the Notice to File Missing Parts dated April 5, 2002, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

**[X] EXECUTED DECLARATION/POWER OF ATTORNEY***(Separate transmittal letter and postcard not required)***[X] FILING FEE – with Fee Transmittal for Patent Applications in duplicate.***(Separate transmittal letter and postcard not required)***[X] SURCHARGE – surcharge fee of \$130 (non-small entity) or 65 (small entity).***(Separate transmittal letter and postcard not required)***[ ] SEQUENCE LISTING – Filed concurrently and is attached.***(Separate transmittal letter and postcard not required)***[X] TRANSMITTAL OF SUBSTITUTE DRAWINGS****[10] Sheets of substitute drawings consisting of Figs. 1 – 12 are enclosed.***(Separate transmittal letter and postcard not required)*

**PETITION FOR EXTENSION OF TIME**

Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated \* for \* month(s) from \* to \*.

The appropriate fee of \$\* is included in the enclosed check.

A \* months extension of time to respond to the Notice to File Missing Parts dated \* was filed on \* with payment of a \$\* fee.

Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$\* is included in the enclosed check.

*(Separate transmittal letter and postcard not required)*

 **REQUEST FOR CORRECTED FILING RECEIPT** – Filed concurrently and is attached.

*(Separate transmittal letter and postcard not required)*

 **STATEMENT CLAIMING SMALL ENTITY STATUS**

Was filed on \*.

Is enclosed herewith.

*(Separate transmittal letter and postcard not required)*

In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$\* which were paid on \* to be deposited in Deposit Account No.50-1935.

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The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,164.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Monica Grewal

Monica Grewal

Registration No.: 40,056

Tel.: (508) 879-5700

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Framingham, Massachusetts 01701

Date: June 5, 2002



**UNITED STATES PATENT AND TRADEMARK OFFICE**



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/077,601	02/15/2002	Pengfei Wu	301962.3000-100

**CONFIRMATION NO. 1545**

**FORMALITIES LETTER**



\*OC00000007800630\*

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BOWDITCH & DEWEY, LLP  
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P.O. Box 9320  
Framingham, MA 01701-9320



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Date Mailed: 04/05/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$294.
  - \$126 for 7 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1164.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/077,601  
 37 CFR 1.53(b)  
 740.00  
 126.00  
 168.00  
 130.00  
 1164.00

A copy of this notice **MUST** be returned with the reply.



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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